

# **Finite Size Scaling and Quantum Criticality**

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# **Finite Size Scaling and Quantum Criticality**

**(1) Introduction: Criticality and Finite Size Scaling**

**(2) Finite Size Scaling in Quantum Mechanics:  
How it works**

**(3) Stability of Matter:**

**(a) Atoms**

**(b) Molecules**

**(c) Quantum Dots**

**(4) Stabilization in Superintense Laser Fields**

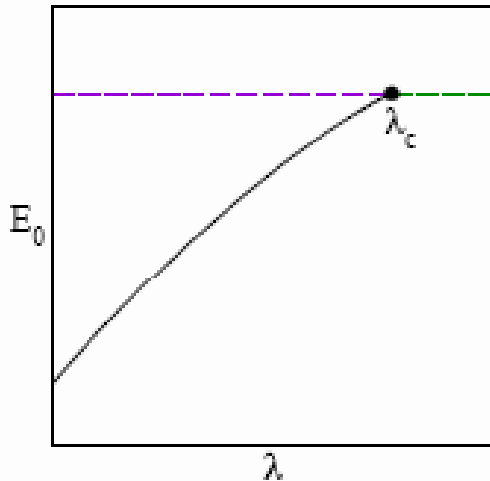
**(5) Future Work: Open Problems**

# Quantum Criticality

$$H(\lambda) = H_0 + \lambda V$$

where  $H_0$  and  $V$  are  $\lambda$  – independent

we assume that  $\exists \lambda_c > 0$   $H(\lambda)$



- \* Has at least one bound state for  $\lambda < \lambda_c$
- \* Has no bound states for  $\lambda > \lambda_c$
- \*  $\lambda = \lambda_c$  depends on  $H(\lambda)$

Critical point  $\lambda_c$ : a point for which the ground (bound) state becomes absorbed or degenerate with the continuum

\* Critical parameter  $\lambda_c$

$$E_0(\lambda_c) = \lim_{\lambda \rightarrow \lambda_c} E_0(\lambda)$$

$$E_0(\lambda < \lambda_c) \rightarrow \text{bound state}$$

\* Critical exponent  $\alpha$

$$E_0(\lambda) - E_0(\lambda_c) \sim (\lambda_c - \lambda)^\alpha \quad \text{for } \lambda \rightarrow \lambda_c$$

# Finite Size Scaling

In statistical mechanics, the finite size scaling method provides a systematic way to extrapolate information obtained from a finite system to the thermodynamic limit

## Importance

The existence of phase transitions is associated with singularities of the free energy. These singularities occur only in the thermodynamic limit.

Yang and Lee *Phys. Rev.* 87, 404 (1952)

FSS scaled variable  $y = N/\xi(\lambda)$ , where  $\xi$  is the correlation length of the infinite system.

$$\begin{cases} y \sim 1 & \text{Critical effects are expected to occur} \\ y \gg 1 & \text{Bulk - like behavior} \\ y \ll 1 & \text{Finite - size effects are manifested} \end{cases}$$

If a thermodynamical quantity  $K$  develops a singularity as a function of  $\lambda$  in the form

$$K = \lim_{N \rightarrow \infty} K_N(\lambda) \sim |\lambda - \lambda_c|^{-\rho}$$

and in particular for the correlation length

$$\xi(\lambda) = \lim_{N \rightarrow \infty} \xi_N(\lambda) \sim |\lambda - \lambda_c|^{-\nu}$$

the FSS ansatz assumes that

$$K_N(\lambda) \sim K(\lambda) f_K(y)$$

where  $f_K(y)$  is an analytical function. For a finite  $N$ ,  $K_N$  is also analytical, so the behavior of  $f_K(y)$  must be

$$f_K(y) \underset{y \rightarrow 0}{\sim} y^{\rho/\nu}$$

It follows that at  $\lambda_c$

$$K_N(\lambda_c) \sim N^{\rho/\nu} \quad N \rightarrow \infty$$

If  $K^{(q)}(\lambda)$  is the  $q$ th derivative of  $K(\lambda)$ ,  $K^{(q)}(\lambda)$  is also singular at  $\lambda_c$

$$\frac{d^q}{d\lambda^q} K(\lambda) = K^{(q)}(\lambda) \sim |\lambda - \lambda_c|^{-\rho-q}$$

and therefore

$$K_N^{(q)}(\lambda_c) \sim N^{(\rho+q)/\nu} \\ ; N \rightarrow \infty$$



Since  $K^N(\lambda)$  is an analytical function in  $\lambda$ , it has a Taylor expansion around  $\lambda_c$  and  $K_N(\lambda)$  can be expressed as

$$K_N(\lambda) \sim N^{\rho/\nu} \phi_K(N^{1/\nu}|\lambda - \lambda_c|), \quad N \rightarrow \infty$$

where  $\phi_K$  is a scaling function which is regular around  $\lambda_c$ .

We can apply FSS to the correlation length  $\xi$ .

$$\xi_N(\lambda) \sim N\phi_\xi(N^{1/\nu}|\lambda - \lambda_c|), \quad N \rightarrow \infty$$

Nightingale developed the phenomenological renormalization (PR) equation for finite systems of sizes  $N$  and  $N'$  is given by

$$\frac{\xi_N(\lambda)}{N} = \frac{\xi_{N'}(\lambda')}{N'}$$

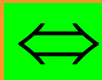
In the present approach, the finite size corresponds not to the spatial dimension, as in statistics, but to the number of elements in a complete basis set used to expand the exact eigenfunction of a given Hamiltonian.

## Quantum Mechanics

$$\psi = \sum_{n=0}^{\infty} a_n \phi_n \cong \sum_{n=0}^M a_n \phi_n$$

(Variational Calculations)

Classical  
( $N \rightarrow \infty$ )



Quantum  
( $M \rightarrow \infty$ )

Phys. Rev. Letters 79, 3142 (1997)

## Finite Size Scaling: Quantum Mechanics

$$H = H_0 + V_\lambda$$

$$\psi_\lambda^{(N)} = \sum_n^{M(N)} a_n^{(N)}(\lambda) \phi_n$$

The FSS ansatz

$$\langle O \rangle_\lambda^{(N)} \sim \langle O \rangle_\lambda F_O \left( N |\lambda - \lambda_C|^\nu \right)$$

$$\Delta_O(\lambda; N, N') = \frac{\ln \left( \langle O \rangle_\lambda^{(N)} / \langle O \rangle_\lambda^{(N')} \right)}{\ln(N'/N)}$$

The curves intersect at the critical point

$$\Delta_O(\lambda_C; N, N') = \Delta_O(\lambda_C; N'', N)$$

# Short Range Potentials

## Yukawa Potential

### Hamiltonian

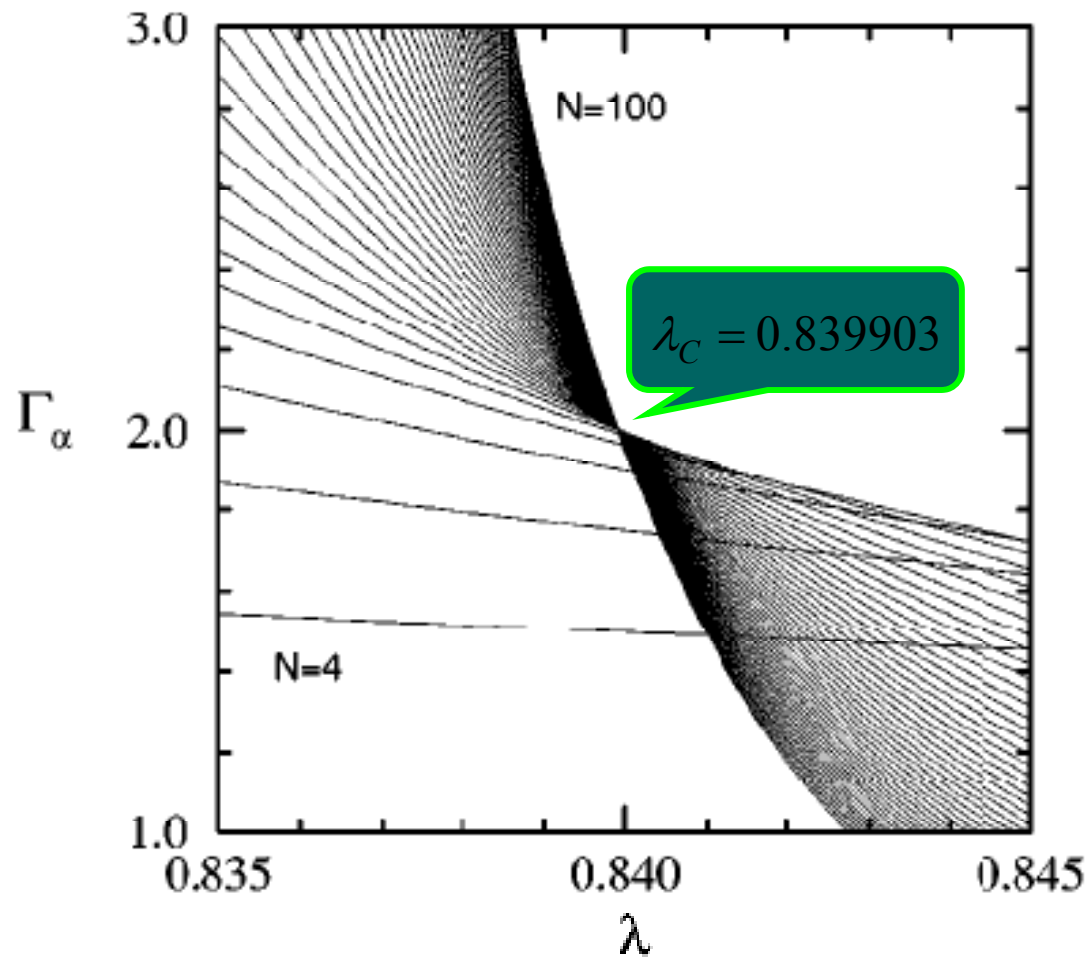
$$H(\lambda) = -\frac{1}{2}\nabla^2 - \lambda \frac{e^{-r}}{r}$$

### Basis Set

$$\phi_n(r, \Omega) = \frac{1}{\sqrt{(n-l+1)(n-l+2)}} e^{-r/2} L_{n-l}^{(2)}(r) Y_{l,m}(\Omega)$$

Where  $L_{n-l}^{(2)}(r)$  is the Laguerre polynomial of degree  $n$  and order 2 and  $Y_{l,m}(\Omega)$  are the spherical harmonic functions of the solid angle

# Yukawa



$$\Gamma_\alpha = \frac{\Delta_H}{\Delta_H - \Delta_{\frac{\partial V}{\partial \lambda}}}$$

$$\lambda_c^{exact} = 0.839908$$

Phys. Rev. A 57, R1481 (1998)

## Finite Size Scaling with Gaussian Basis Sets

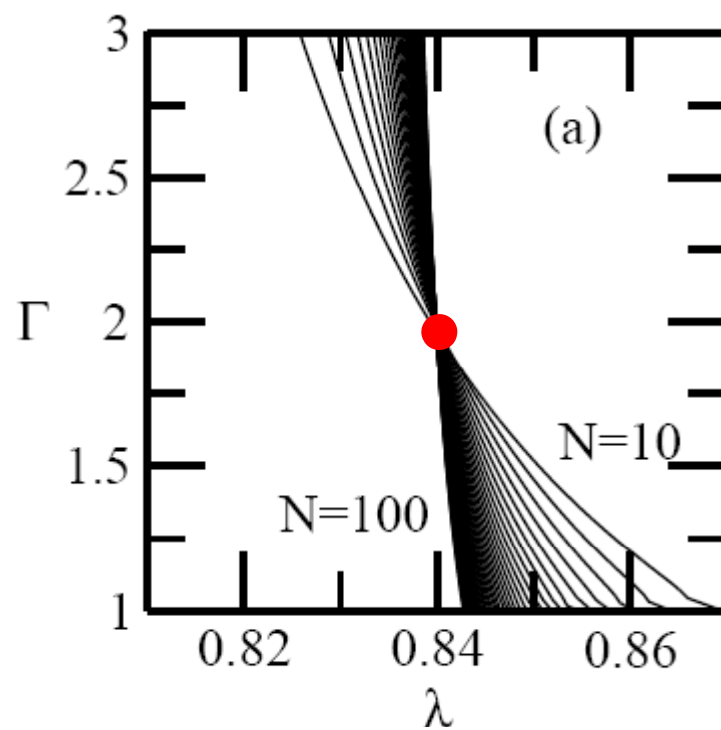
The main idea is to use Gaussian basis sets to do FSS calculations for large atomic and molecular systems.

The basis-set is an over-complete set of Gaussian functions:

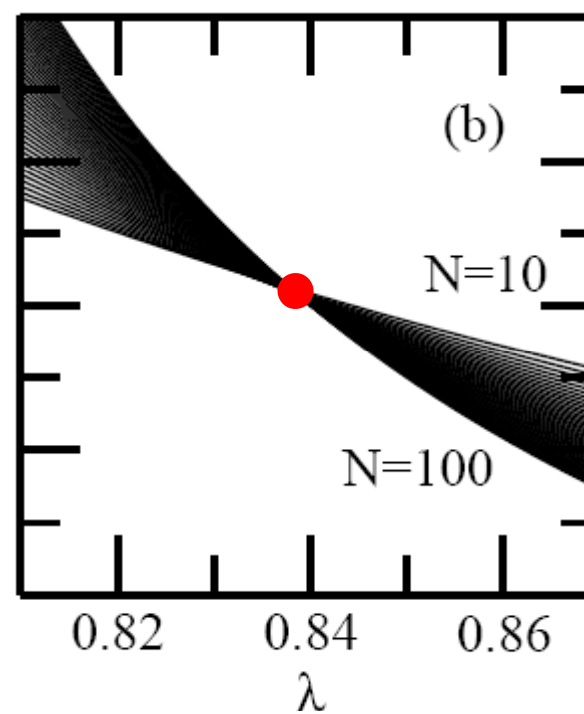
$$\phi_{i,j,k}(\beta; \vec{x}) = C_{ijk} \exp(-\beta r^2) x^i y^j z^k$$

Where  $C_{ijk}$  are the normalization constants and  $\beta$  is a free parameter.

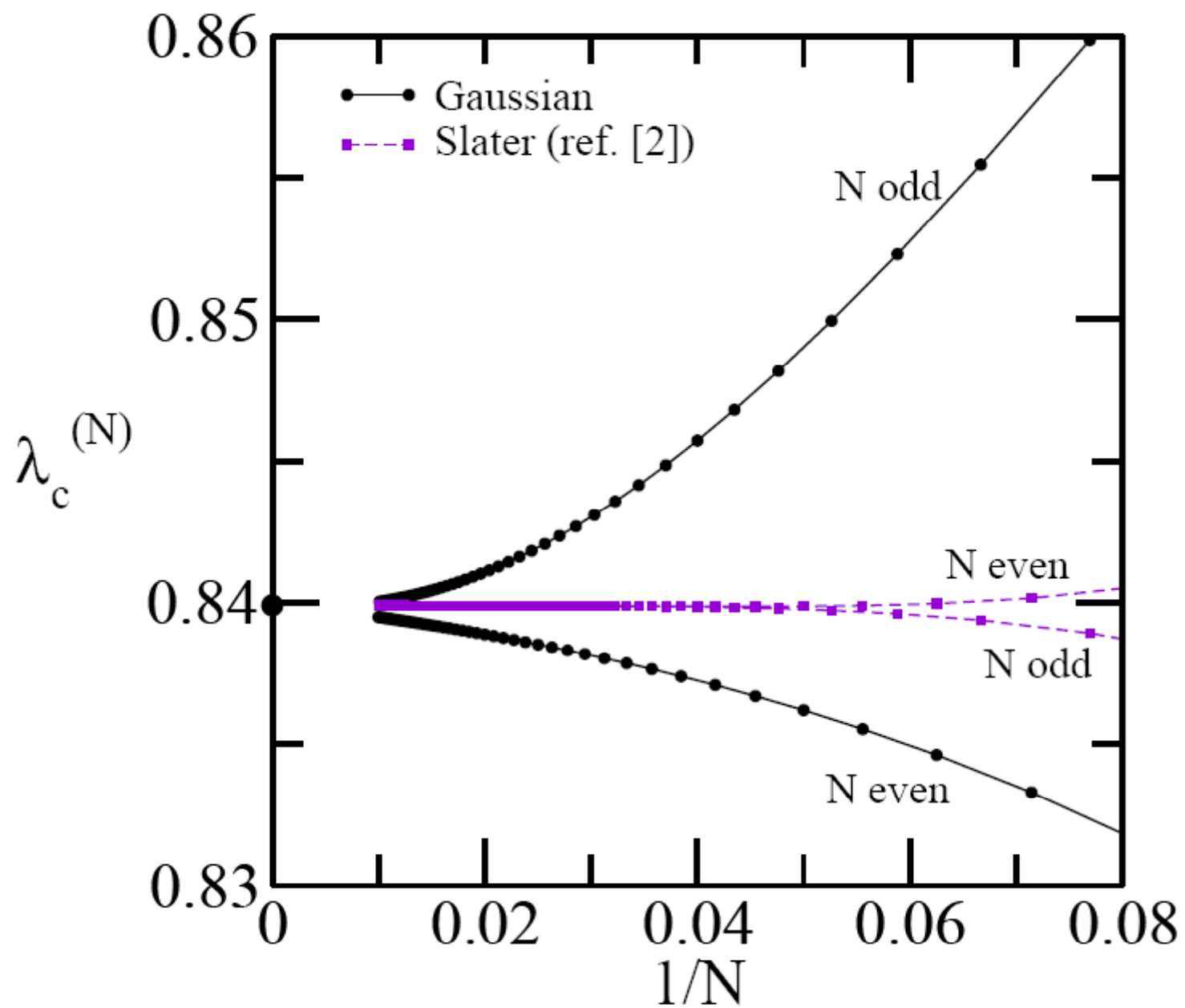
## Gaussians

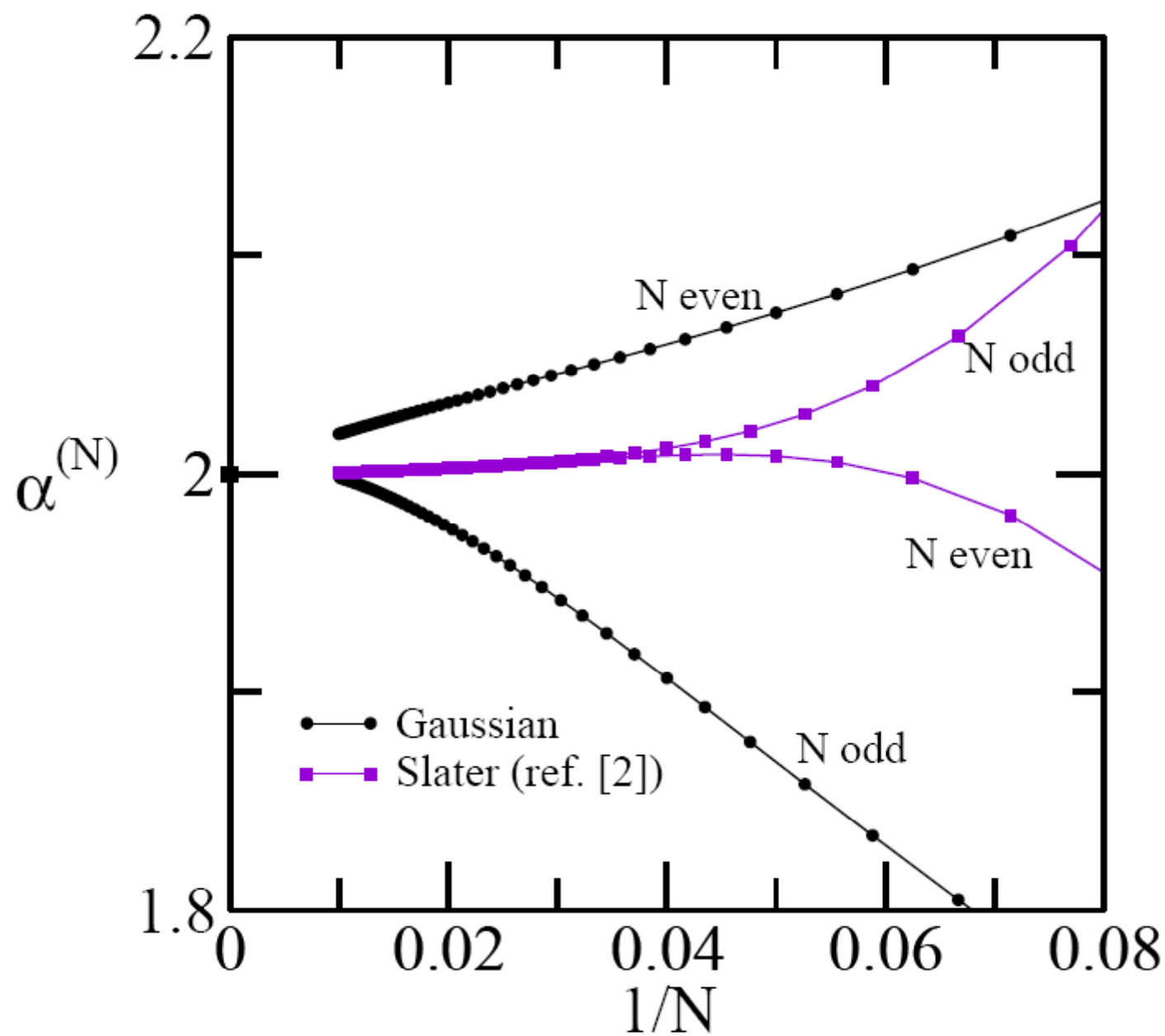


## Slater









# Finite Size Scaling Data Collapse

$$\langle O \rangle_{\infty} \underset{\lambda \rightarrow \lambda_c^+}{\sim} (\lambda - \lambda_c)^{\mu}$$

$$\langle O \rangle_N \sim \langle O \rangle_{\infty} F_O \left( N |\lambda - \lambda_c|^{\nu} \right)$$

$$F(x) \underset{x \rightarrow 0}{\sim} x^{-\mu/\nu}$$

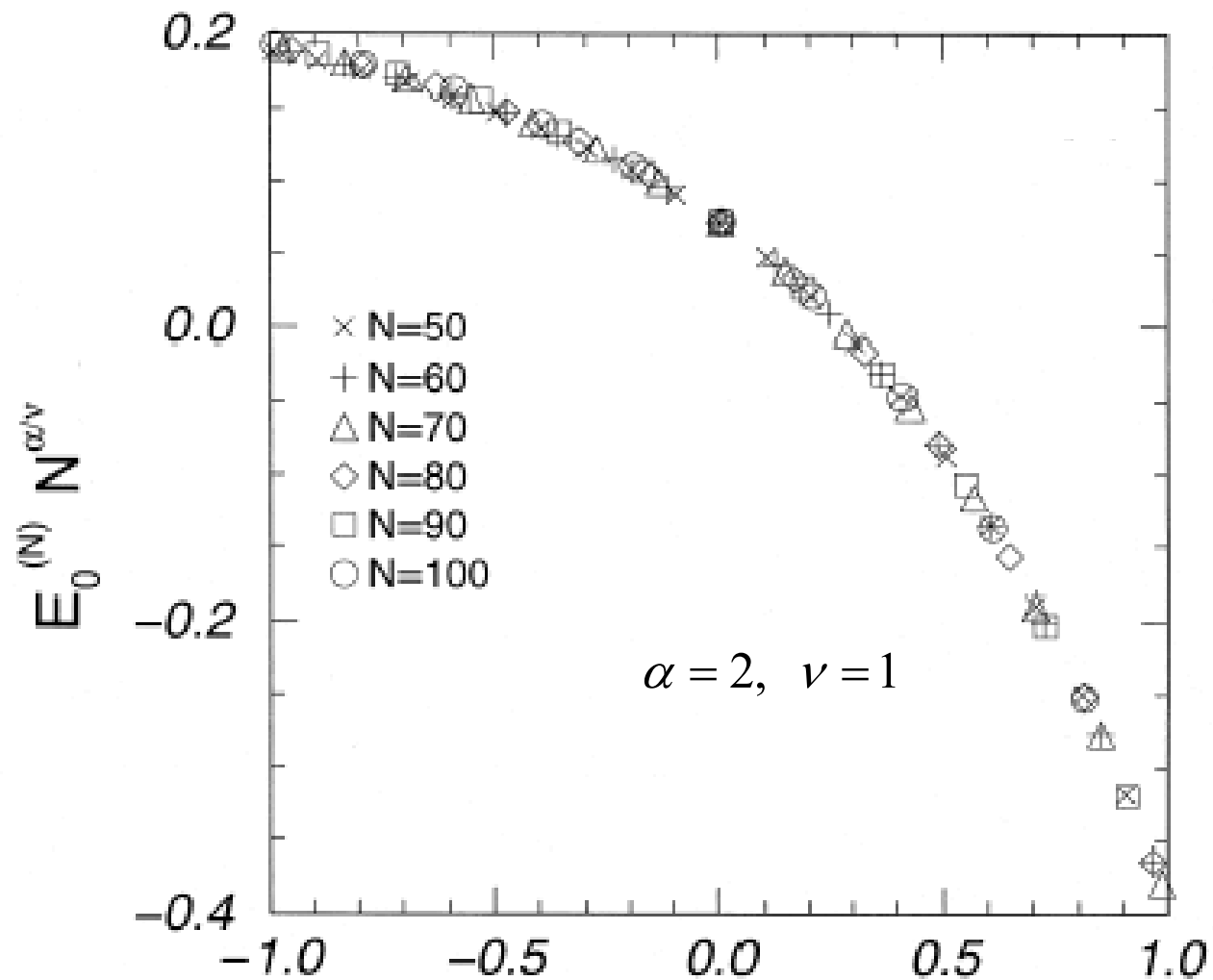
$$\langle O \rangle_N \underset{N \rightarrow \infty}{\sim} N^{-\mu/\nu}$$

$$\langle O \rangle_N \sim N^{-\mu/\nu} G \left( N^{1/\nu} (\lambda - \lambda_c) \right)$$

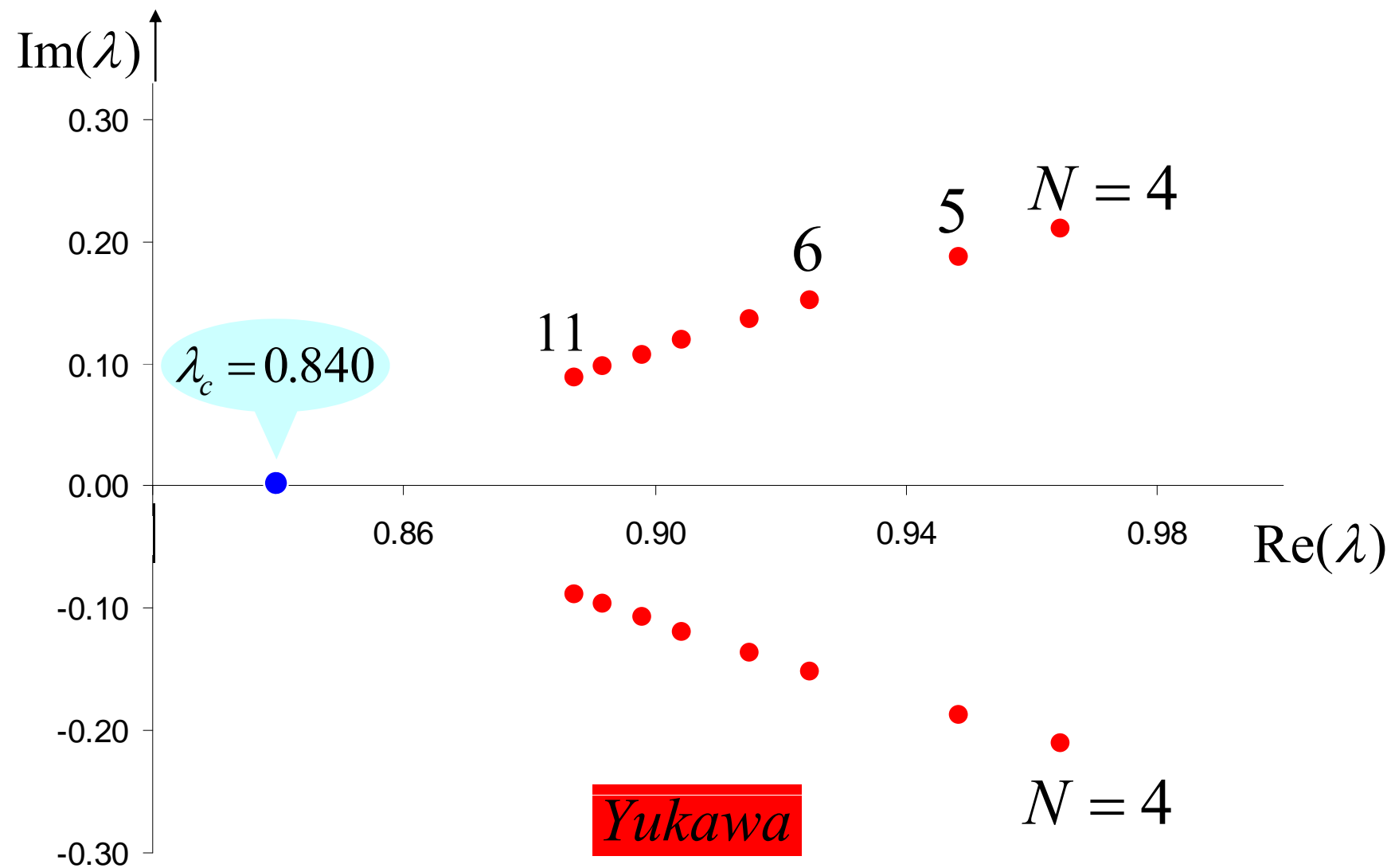
$$E_0 N^{\alpha/\nu} \sim G \left( N^{1/\nu} (\lambda - \lambda_c) \right)$$

# Data Collapse

$$E_0 N^{\alpha/\nu} \sim G((\lambda - \lambda_c) N^{1/\nu})$$



$$(\lambda - \lambda_c) N^{1/\nu}$$



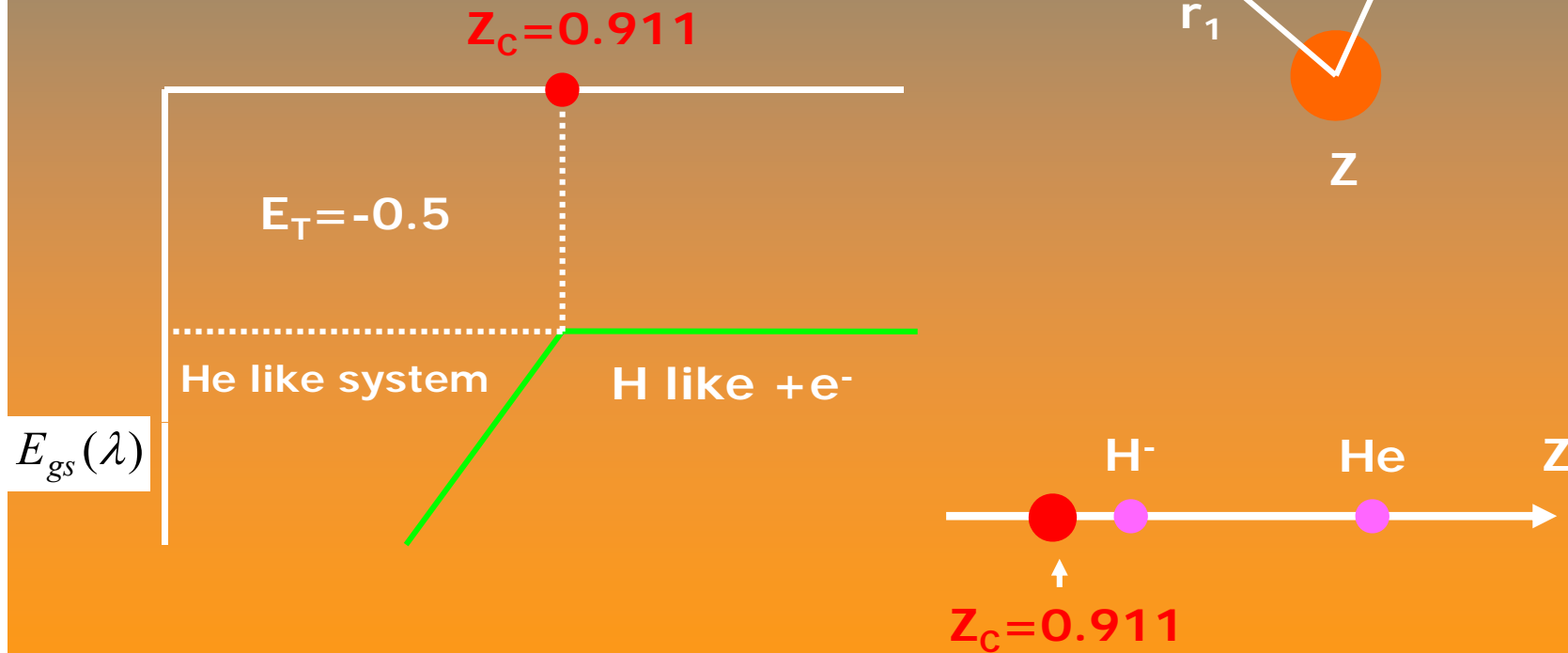
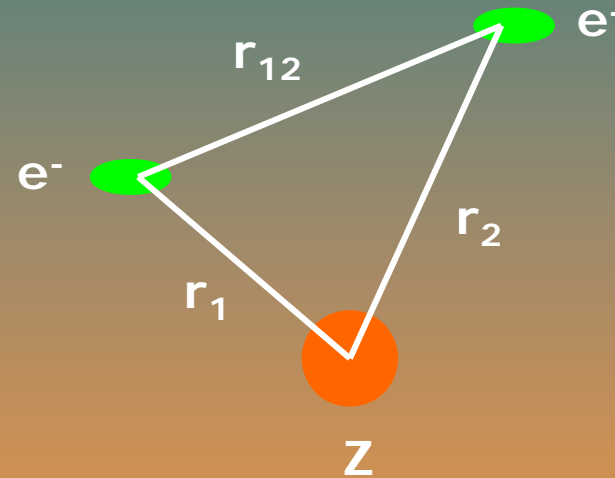
Chem. Phys. Letters 423, 45 (2006)

# Two Electrons Atoms

$$H(\lambda) = -\frac{1}{2}\nabla_1^2 - \frac{1}{2}\nabla_2^2 - \frac{1}{r_1} - \frac{1}{r_2} + \frac{\lambda}{r_{12}}$$

$$\lambda = \frac{1}{Z}$$

$$r_{12} = |r_1 - r_2|$$



# Finite Size Scaling procedure

## ❖ Hamiltonian:

$$H = -\frac{1}{2}\nabla_1^2 - \frac{1}{2}\nabla_2^2 - \frac{1}{r_1} - \frac{1}{r_2} + \frac{\lambda}{r_{12}}; \quad \lambda = \frac{1}{Z}$$

## ❖ Basis Set:

$$\Psi = \sum_{i,j,k} C_{i,j,k} \frac{1}{\sqrt{2}} \left( r_1^i r_2^j e^{-\alpha r_1 - \beta r_2} + r_1^j r_2^i e^{-\beta r_1 - \alpha r_2} \right) r_{12}^k$$

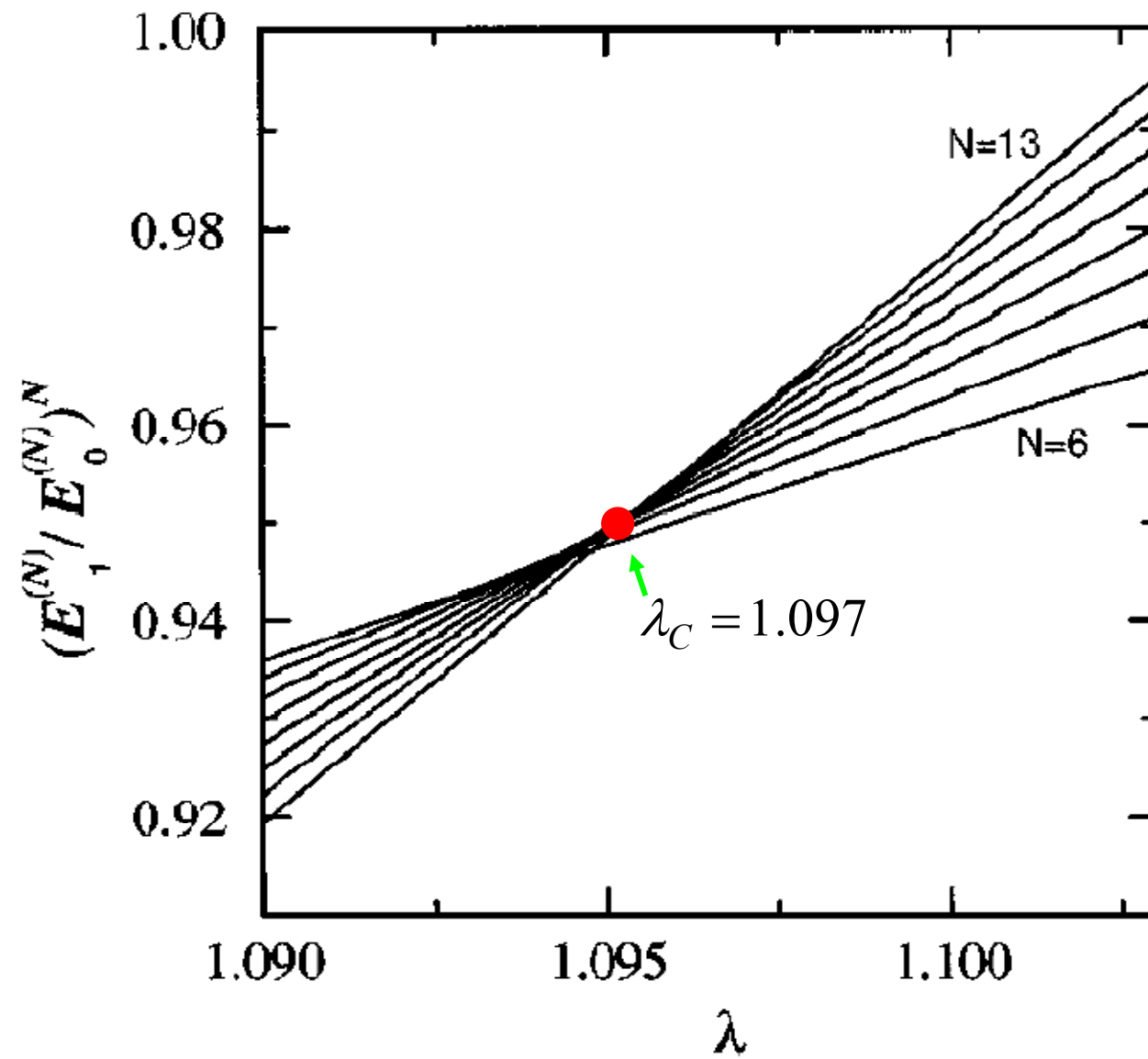
$$i + j + k \leq N$$

## ❖ Hamiltonian Matrix:

Leading Eigenvalues,  $E_0, E_1$

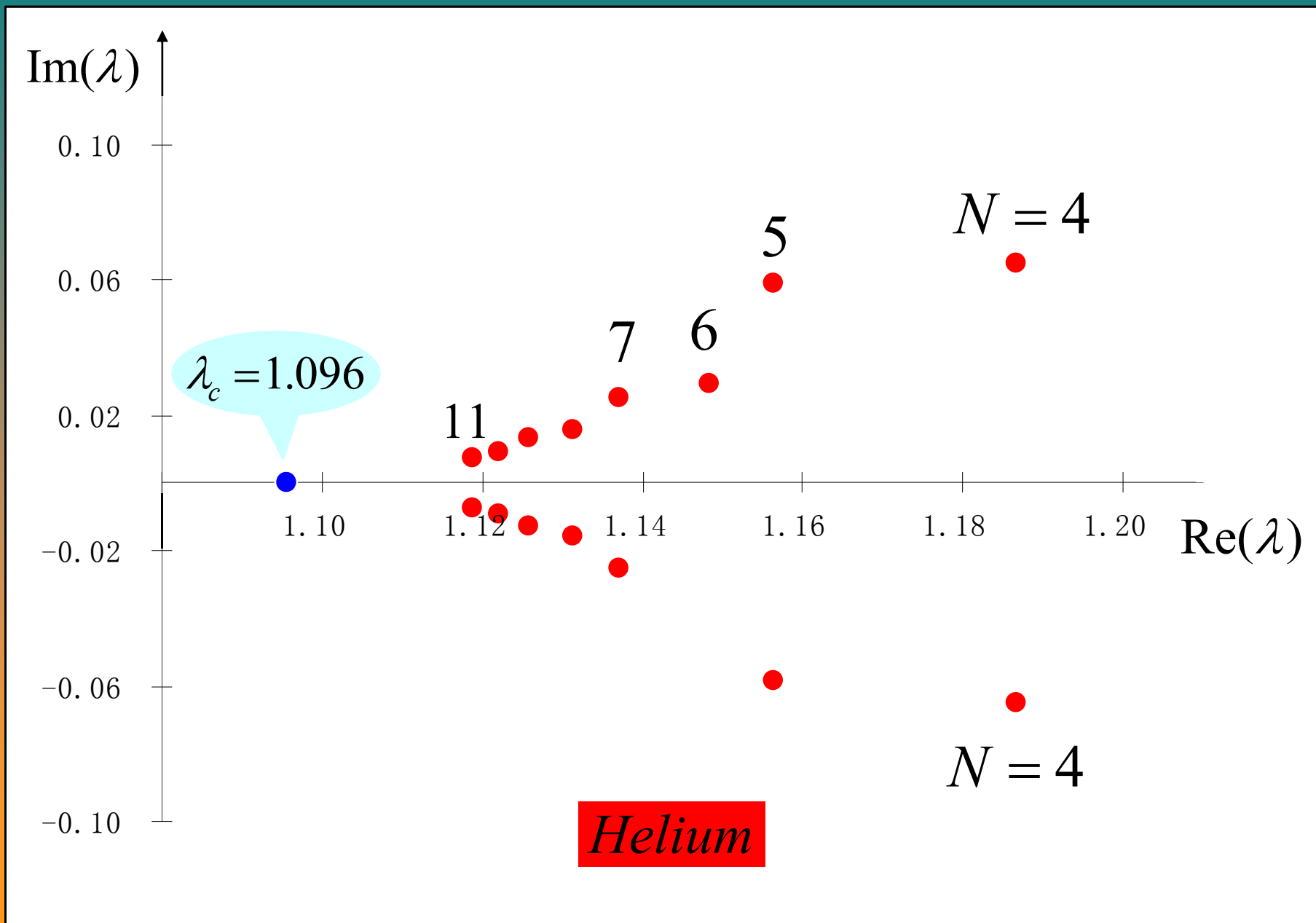
## ❖ Renormalization Equation:

$$\left( \frac{E_1(N)}{E_0(N)} \right)^N = \left( \frac{E_1(N+1)}{E_0(N+1)} \right)^{N+1}$$

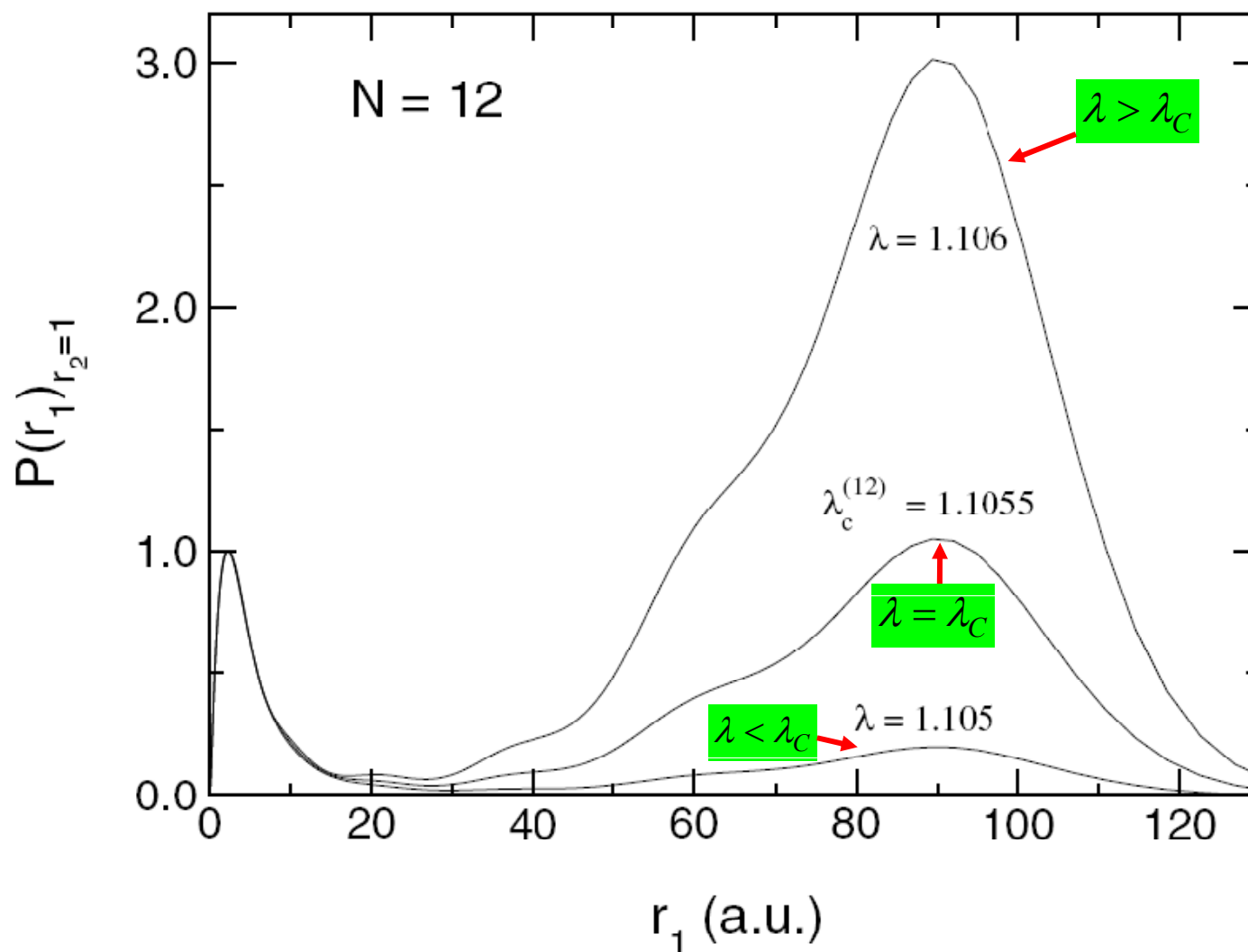


Phys. Rev. Letters 80, 5293 (1998)





# Conditional Probability



# Critical of Shannon Information Entropy

Shannon proposed that the information entropy for a system with a continuous probability distribution  $P(x)$  in one dimension could be characterized as

$$S = -\int P(x) \ln P(x) dx; \quad \int P(x) dx = 1$$

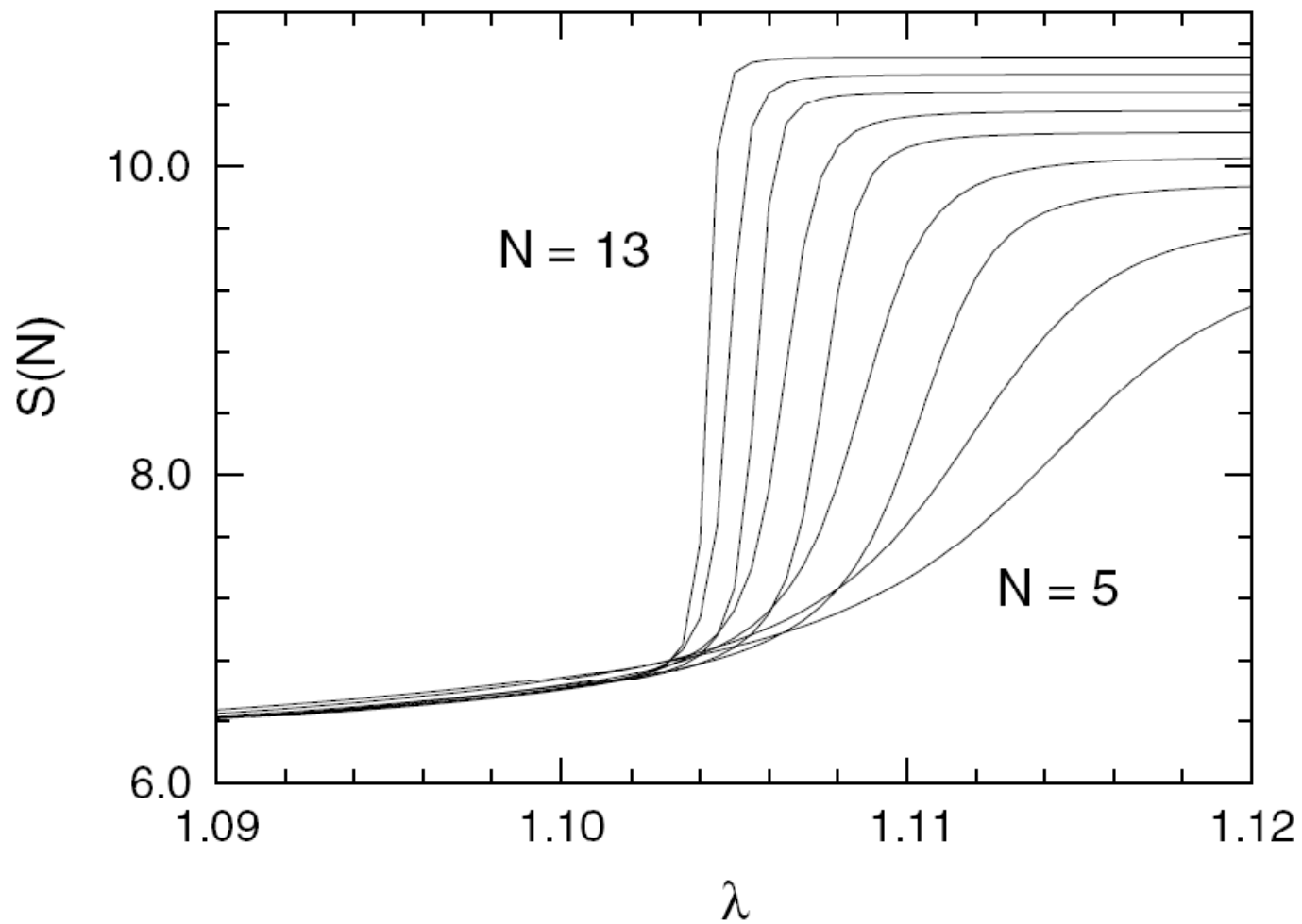
## Electronic Structure

Shannon information entropy for electronic charge distribution  $\rho(r)$  is given by

$$S = -\int_0^\infty \rho(r) \ln \rho(r) 4\pi r^2 dr$$

$S$  measures the delocalization or the lack of structure in the respective distribution.

$$S = - \int \rho \ln \rho dV$$

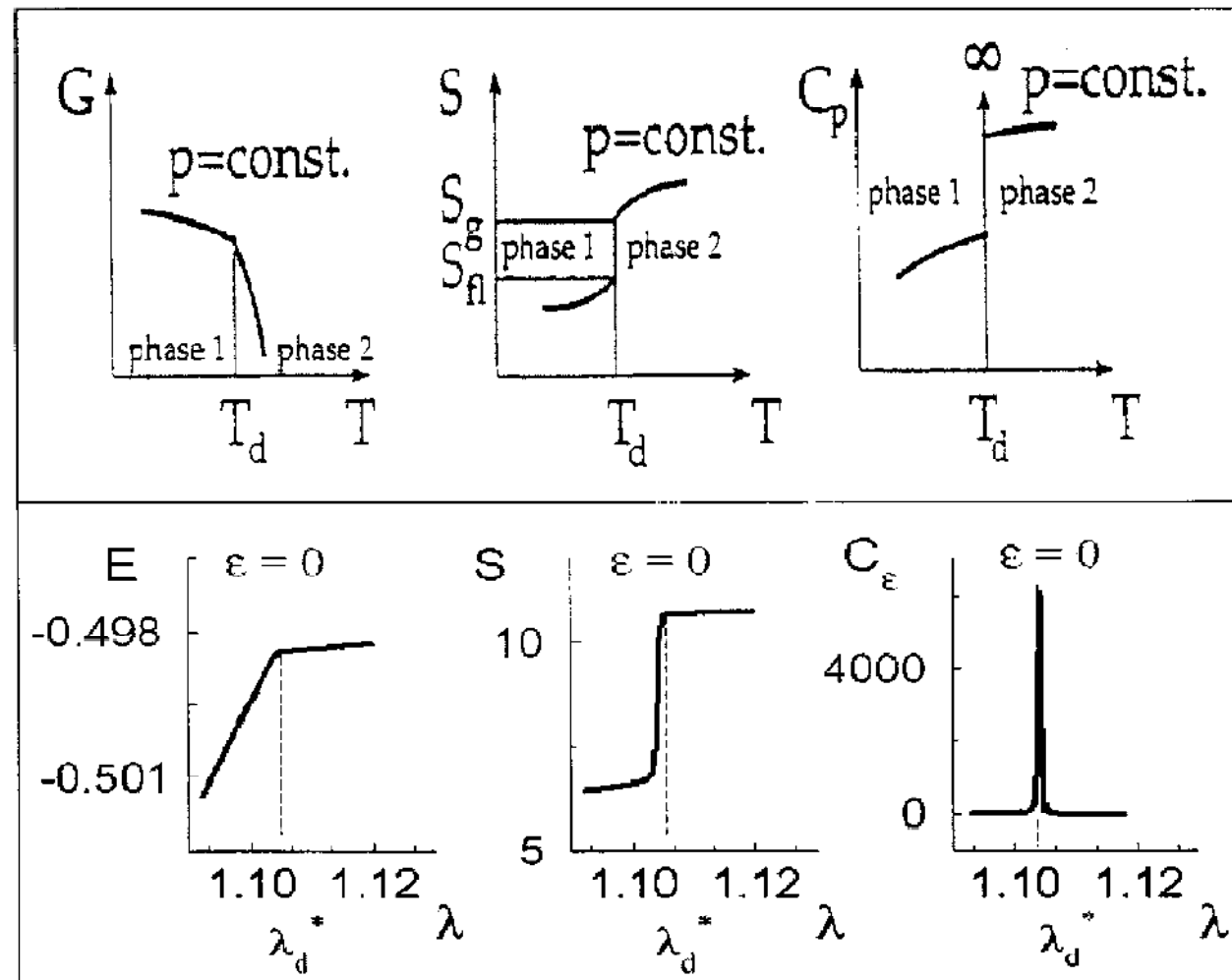


Chem. Phys. 309, 127 (2005)

**D=3**

**Fluids**

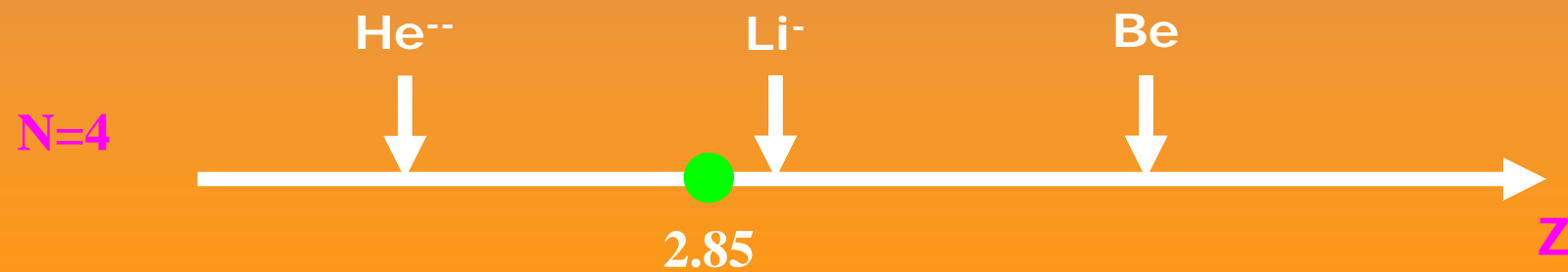
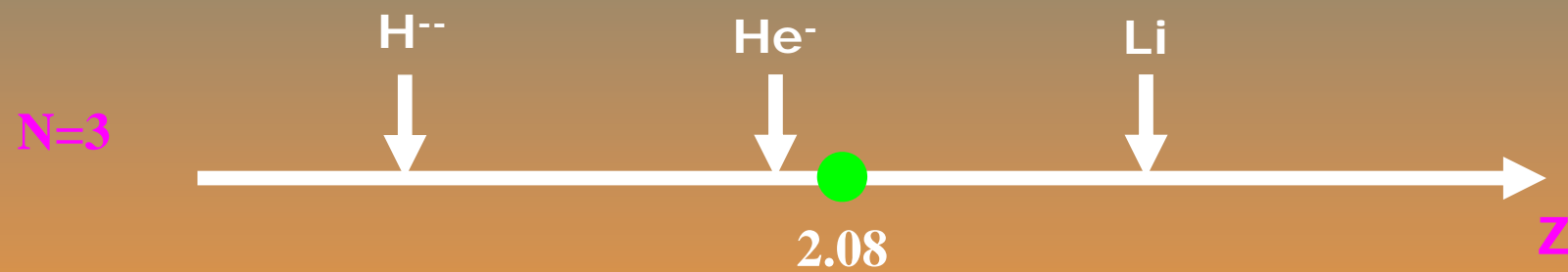
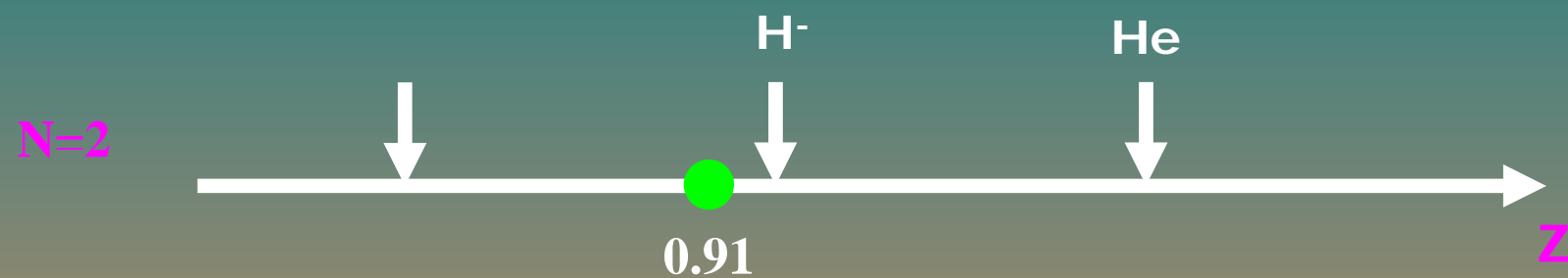
**Liquid  $\rightarrow$  Gas**



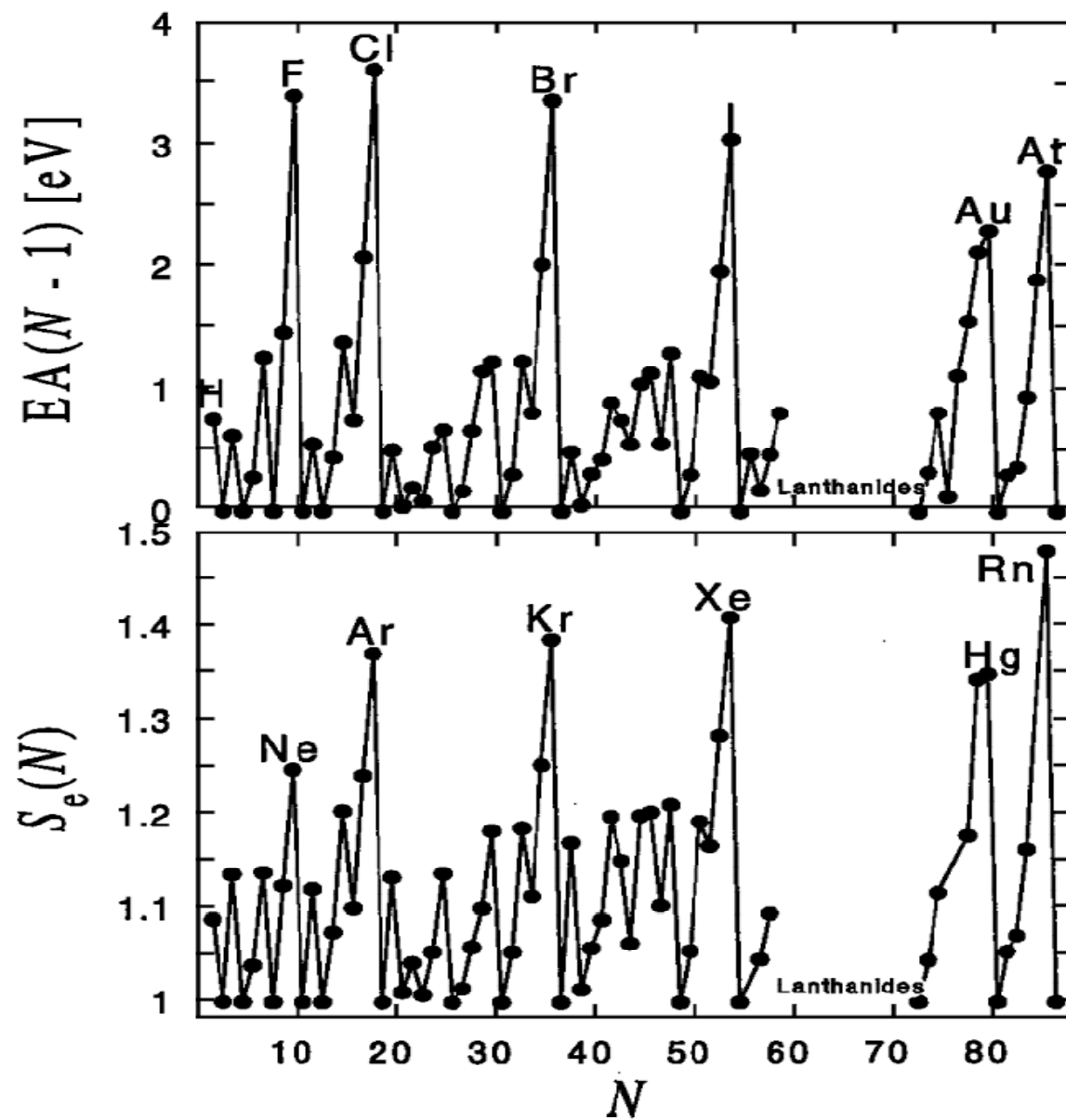
**Electronic Structure**

**Bound  $\rightarrow$  Continuum**

# Critical Charges and Stable Atoms and Ions



Surcharge  
 $S_e = N - Z_c$



Int. J. Quantum Chem. 75, 533 (1999)

➤ Do doubly charged negative atomic ions exist in the gas phase?

*NO*

➤ What is the smallest object that can bind two extra electrons?

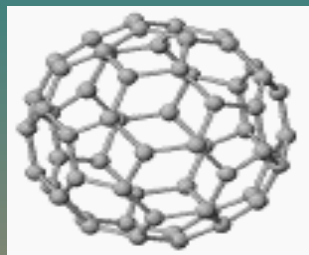
*This is a challenge for  
experiment and theory!*

The two electrons must be  
separated by at least 5.6 Å

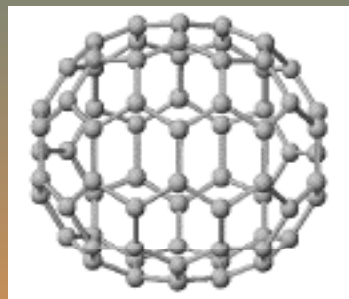


# Stability of Dianions of Fullerenes

EA1 = + 2.65 eV  
EA2 = - 0.3 eV



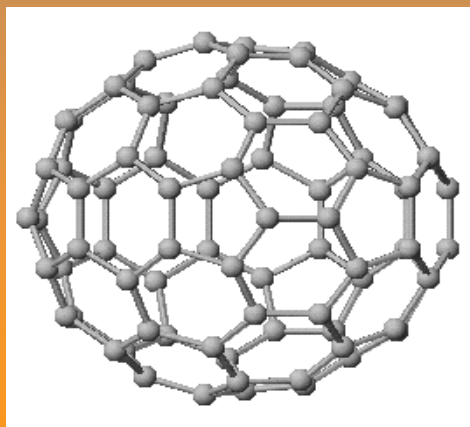
$C_{60}^-$



$R_C = 5.6 \text{ \AA}$

$C_{79}^{--}$

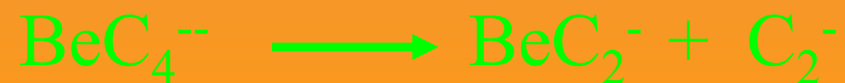
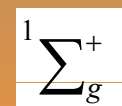
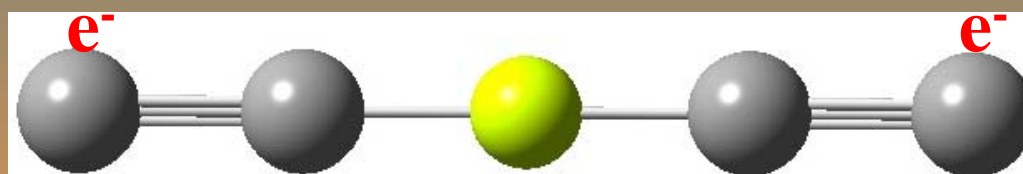
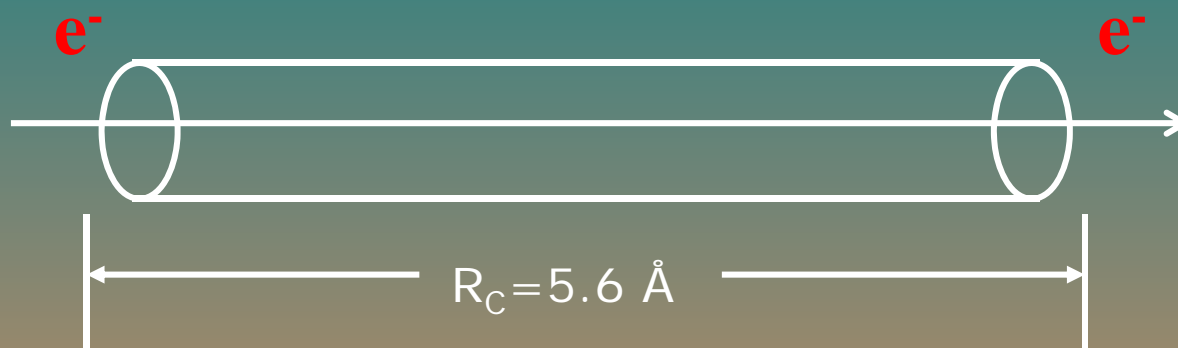
EA1 = + 3.14 eV  
EA2 = + 0.44 eV



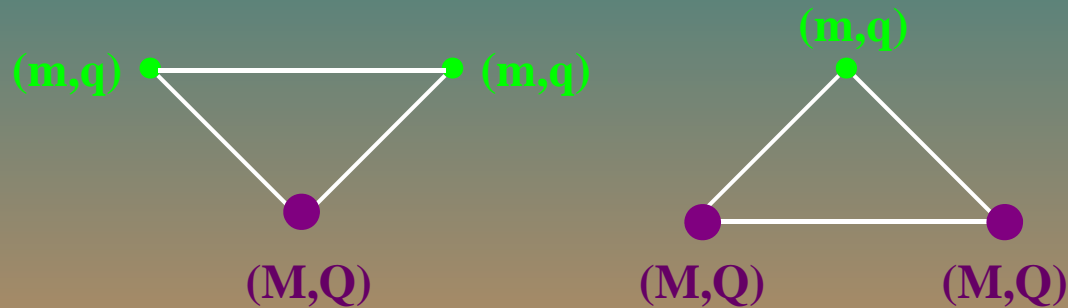
$C_{84}^{--}$

Advances in Chemical Physics, Volume 125, 1 (2003).

# Stability of Linear Dianions



# Phase Transitions and Stability of Three Body Coulomb Systems



$$H = -\frac{1}{2\mu}\nabla_1^2 - \frac{1}{2\mu}\nabla_2^2 - \frac{1}{m}\nabla_1 \cdot \nabla_2 + \frac{qQ}{r_1} + \frac{qQ}{r_2} + \frac{Q^2}{r_{12}}$$

$$H = -\frac{\nabla_1^2}{2} - \frac{\nabla_2^2}{2} - \frac{1}{r_1} - \frac{1}{r_2} - \kappa \nabla_1 \cdot \nabla_2 + \lambda \frac{1}{r_{12}}$$



$$|Q|/Q = -|q|/q$$

$$r \rightarrow fr$$

$$H \rightarrow uH/f^2$$

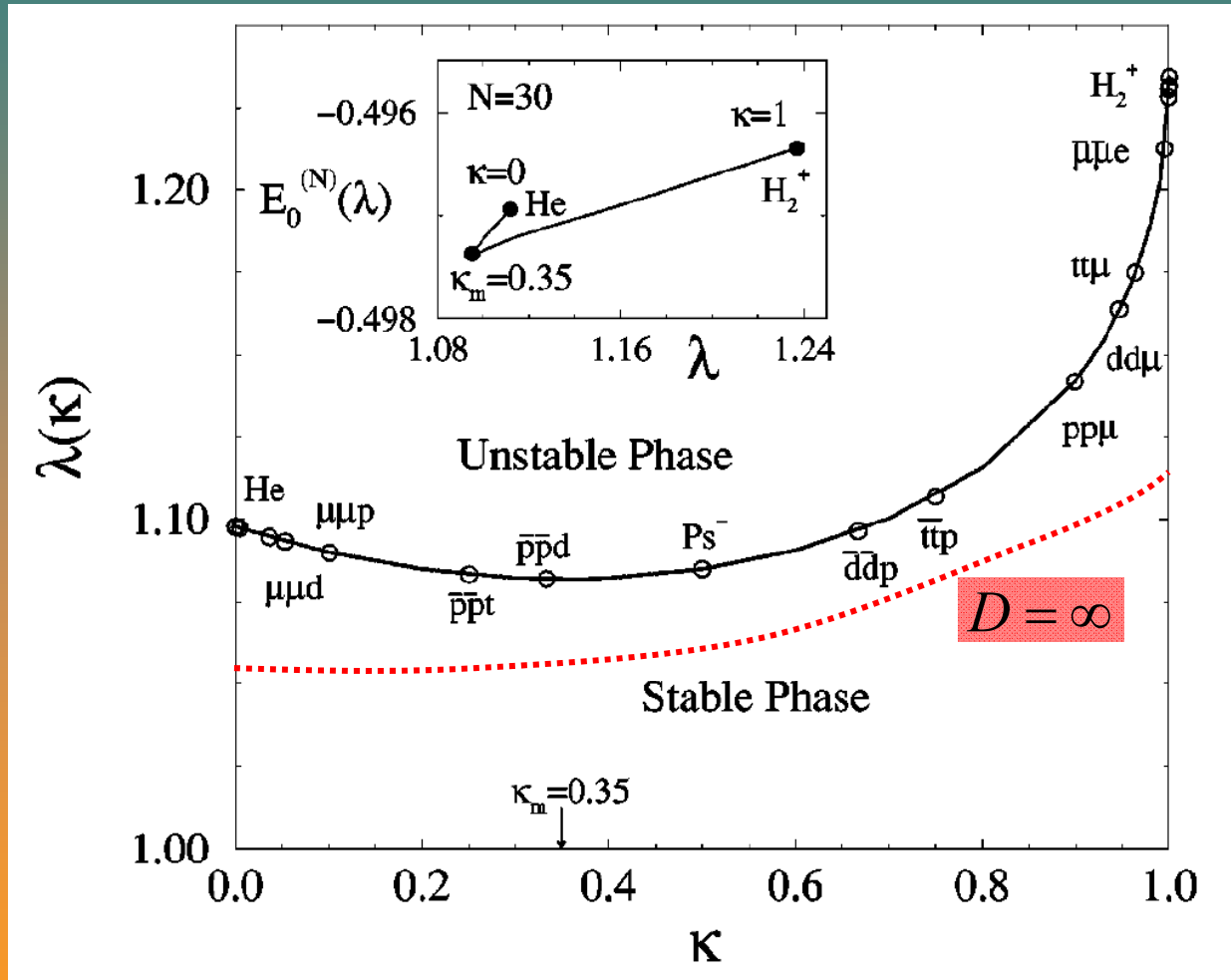
$$f = u|Qq|$$

$$u = \frac{mM}{m+M}$$

$$0 \leq \lambda = |Q/q| \leq \infty$$

$$0 \leq \kappa = (1 + m/M)^{-1} \leq 1$$

## Three Body Coulomb Systems (ABA)



### Particles

e: electron

p: proton

u: muon

d: deuteron

t: tritium

### Mass

1

1836.15

206.76

3670.5

5476.92

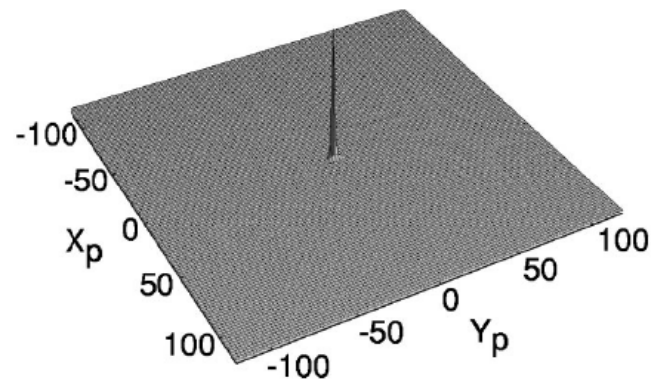
$(e^- \mu^+ e^-)$  Stable  $(\mu^- p^+ \mu^-)$  Stable

S. Kais, Phys. Rev. A 62, 06050 (2000)

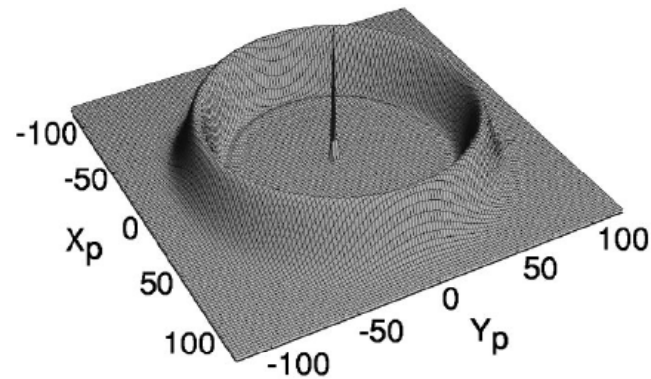
# Phase Transitions and Stability of Three Body Coulomb Systems

Charge density probability

$\text{H}_2^+$



(a)  $\lambda^{(N=20)} < \lambda_c^{(N=20)}$



(b)  $\lambda^{(N=20)} > \lambda_c^{(N=20)}$

$$\lambda = 1.24 < \lambda_C^{N=20} = 1.2402$$

Bound States

$$\lambda = 1.241 > \lambda_C^{N=20} = 1.2402$$

Coulomb Explosion

# FSS for Critical Conditions for Stable Quadrupole Bound Anions

**Hamiltonian:** Consists of a charge  $q$  at the origin and two charges  $-q/2$  at  $z = +1$  &  $-1$

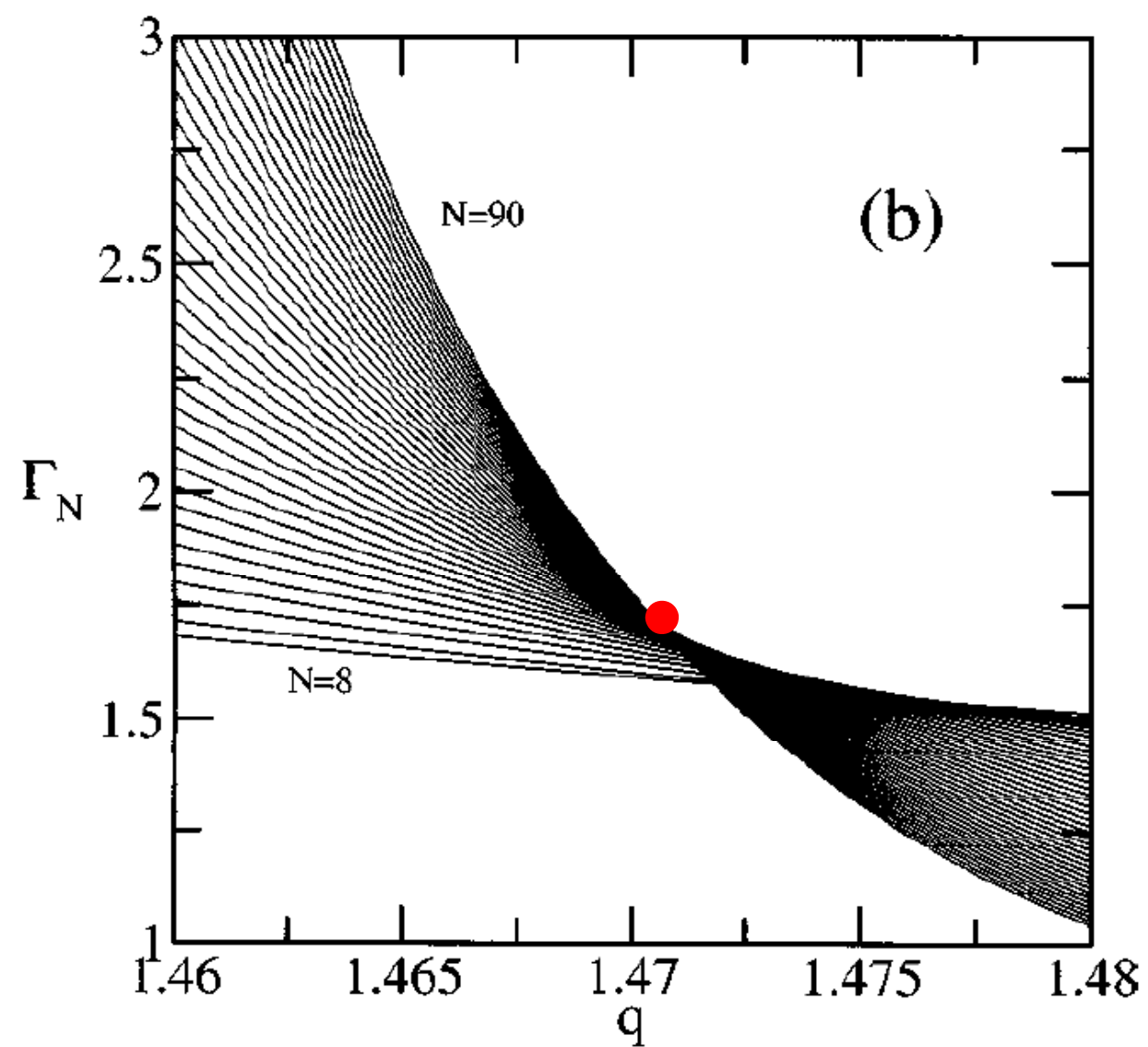
$$\mathcal{H}(q) = -\frac{1}{2}\nabla^2 - \frac{q}{r} + \frac{q}{2} \left\{ \frac{1}{|\vec{r} - \hat{z}|} + \frac{1}{|\vec{r} + \hat{z}|} \right\}$$

**Slater Basis Set:**

$$\Phi_{n,l}(\vec{r}) = \left[ \frac{4\pi\beta^{2n+3}}{(4l+1)(2n+2)!} \right]^{1/2} e^{-\beta r/2} r^n P_{2l}(\theta)$$
$$n = 0, 1, \dots, \quad l = 0, 1, \dots, [n/2],$$

Where  $\beta$  is the variational parameter used to optimize the numerical results and  $P_{2l}(\theta)$  is the Legendre polynomial of order  $l$ .

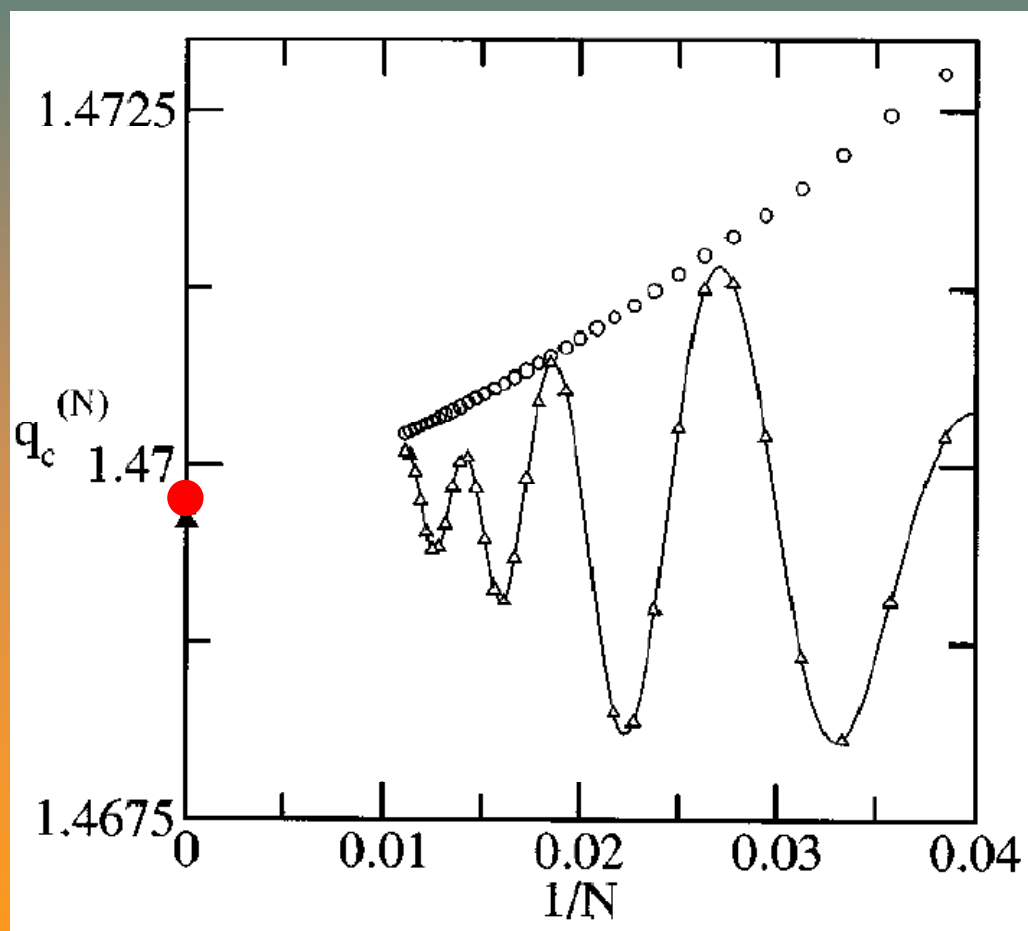
$$q \longrightarrow q = QR$$



*Journal of Chemical Physics, 120, 8412 (2004)*

$$q_c = 1.46 \text{ a.u.} \quad \left( -\frac{q}{2}, +q, -\frac{q}{2} \right)$$

$$q_c = 3.9 \text{ a.u.} \quad \left( +\frac{q}{2}, -q, +\frac{q}{2} \right)$$



$\text{KCl}_2^-$   $Q_{zz}=10 \text{ a.u.}$

$\text{K}_2\text{Cl}^-$   $Q_{yy}=27 \text{ a.u.}$

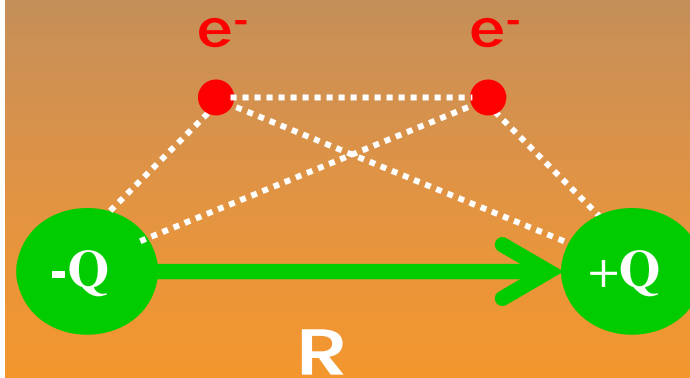
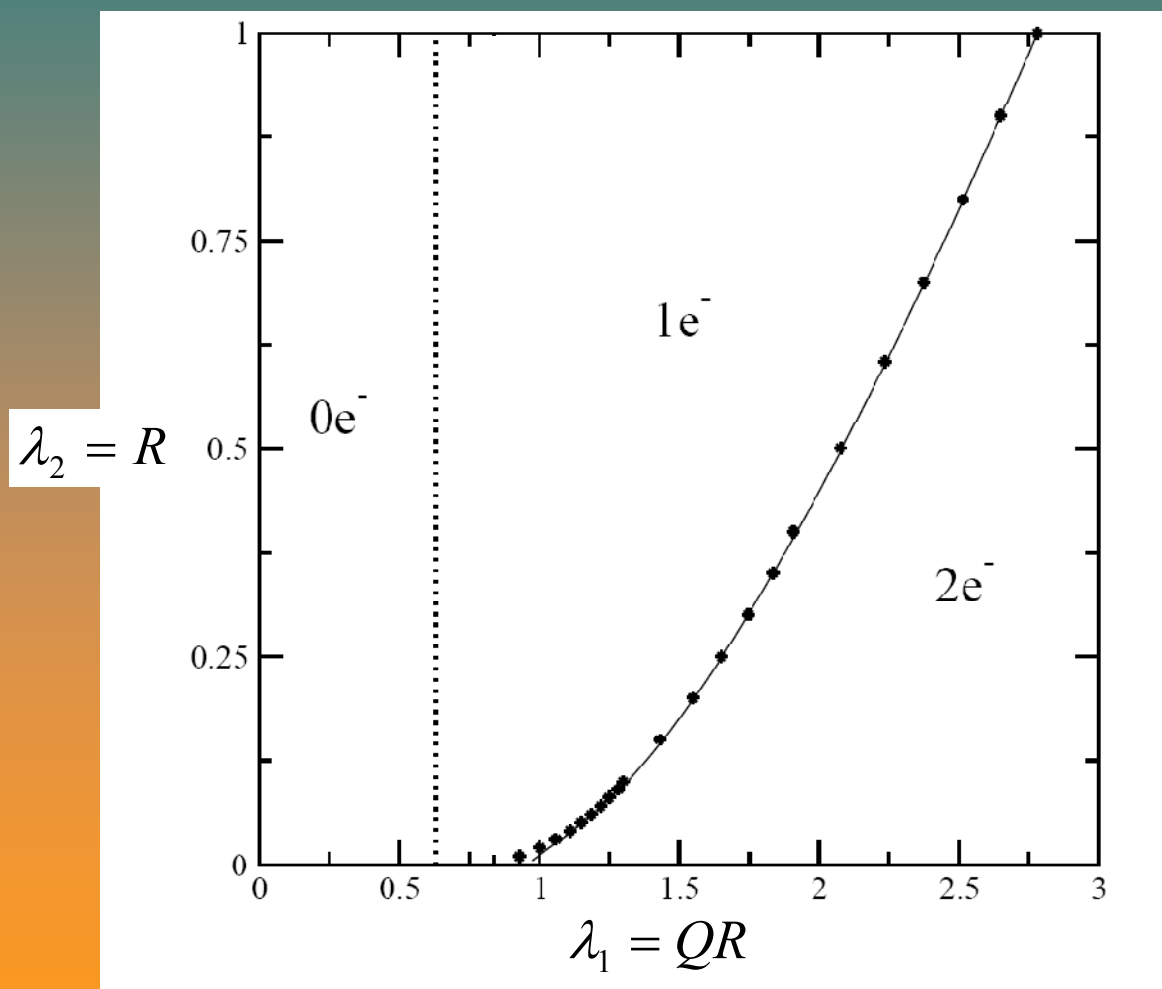
$(\text{BeO})_2^-$   $(13, 13, 0.5)$

$\text{CS}_2^-$   $Q_{zz}=4 \text{ a.u.}$

*Journal of Chemical Physics, 120, 8412 (2004)*



# Stability diagram for two electron dipole

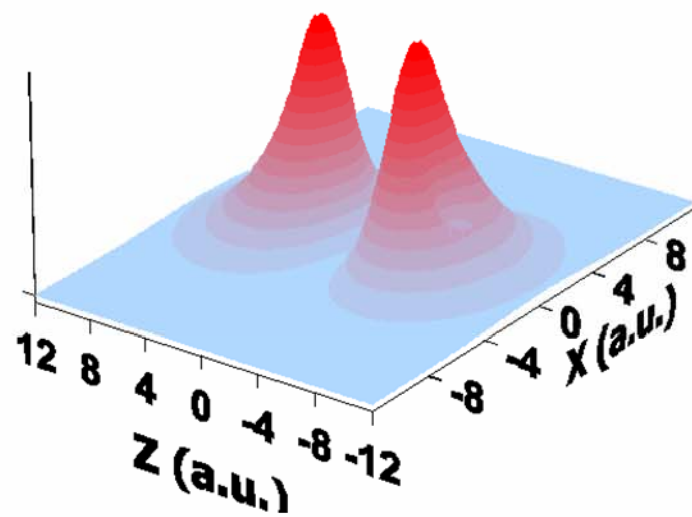


*Journal of Chemical Physics, 128, 044307 (2008)*

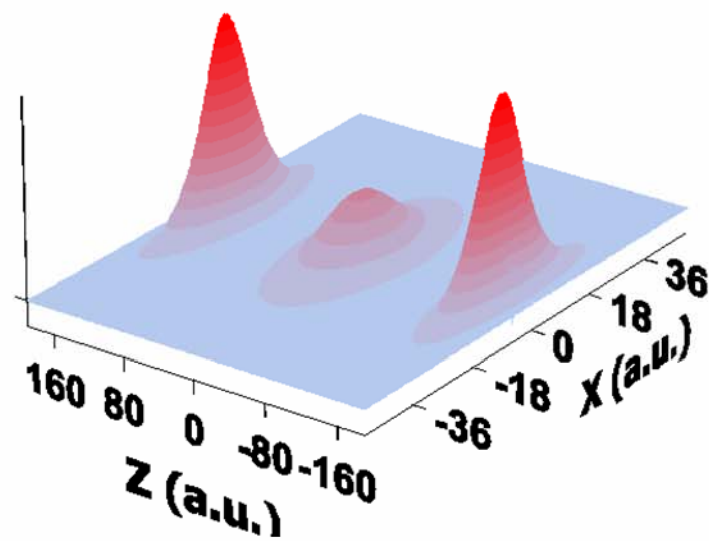
# **Finite Size Scaling**

**Stability of Atomic and  
Molecular Systems  
In Superintense Laser Fields**

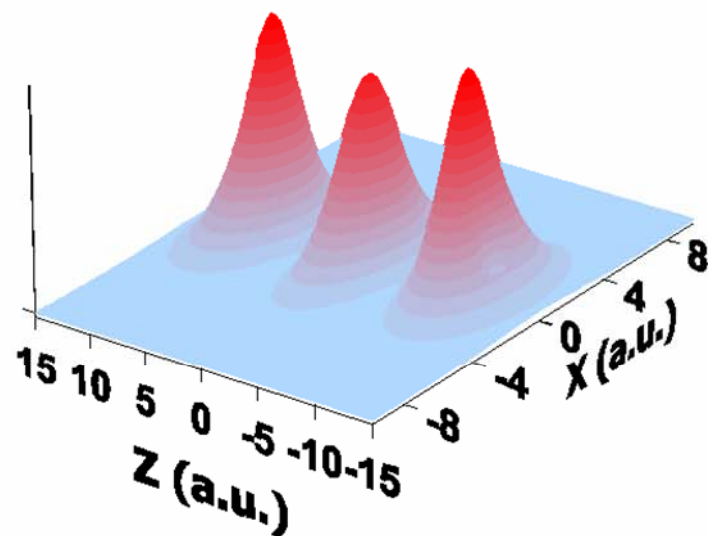
$\text{H}^-$



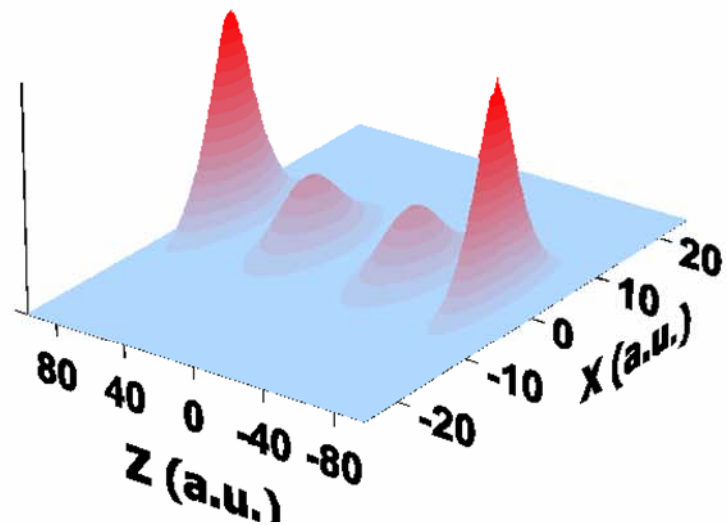
$\text{H}^{2-}$

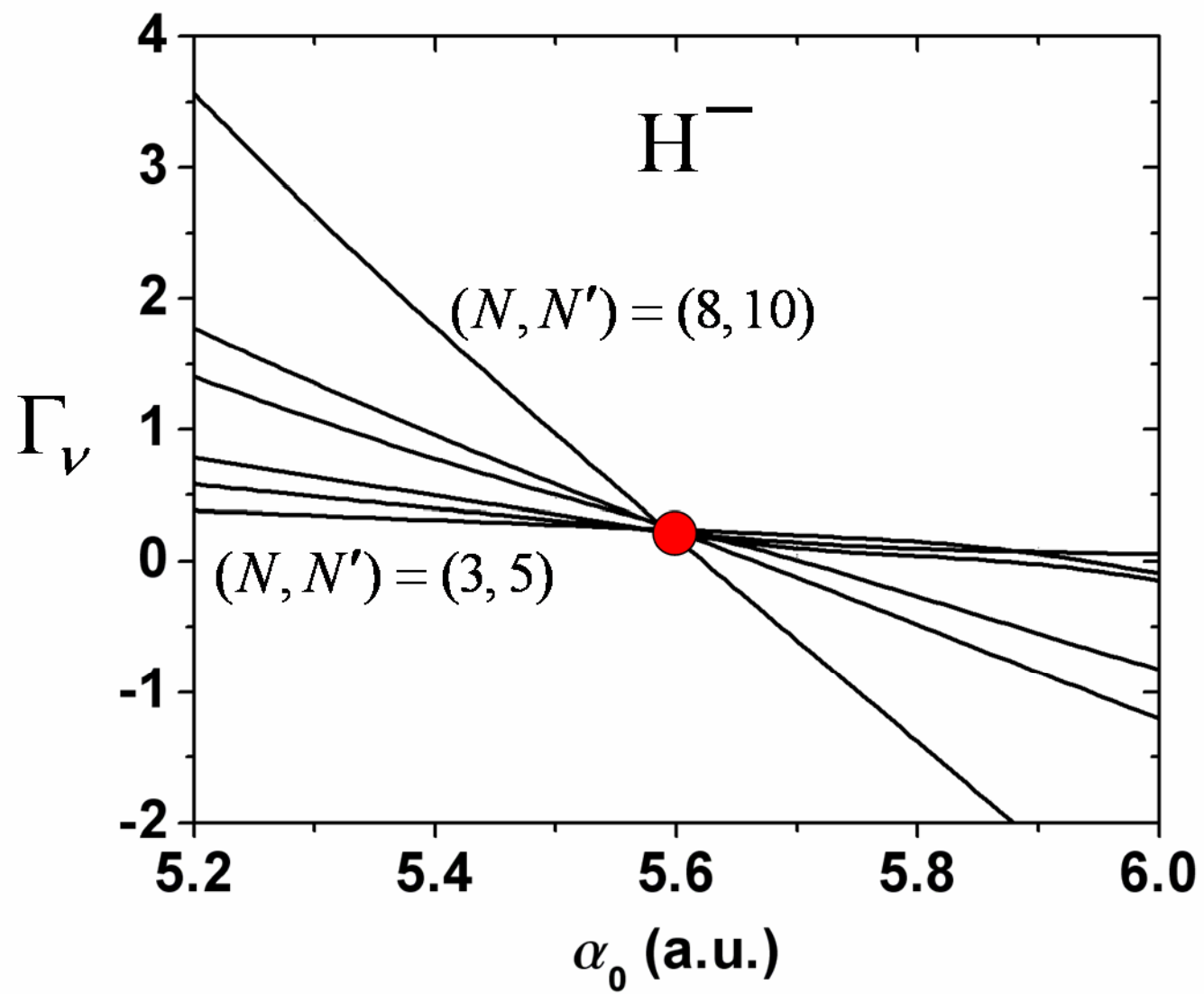


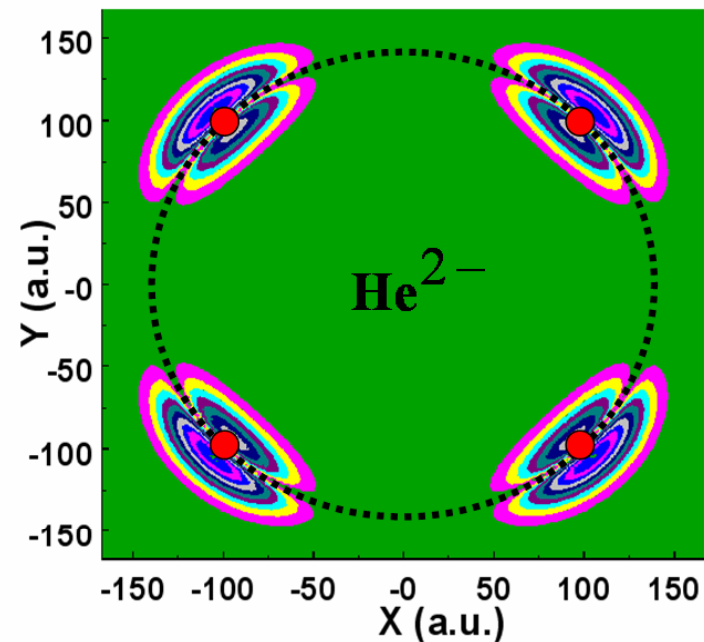
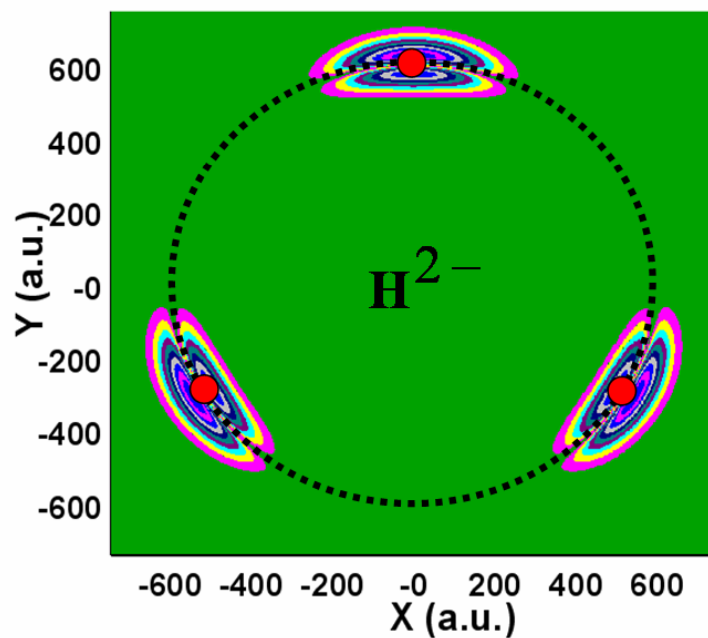
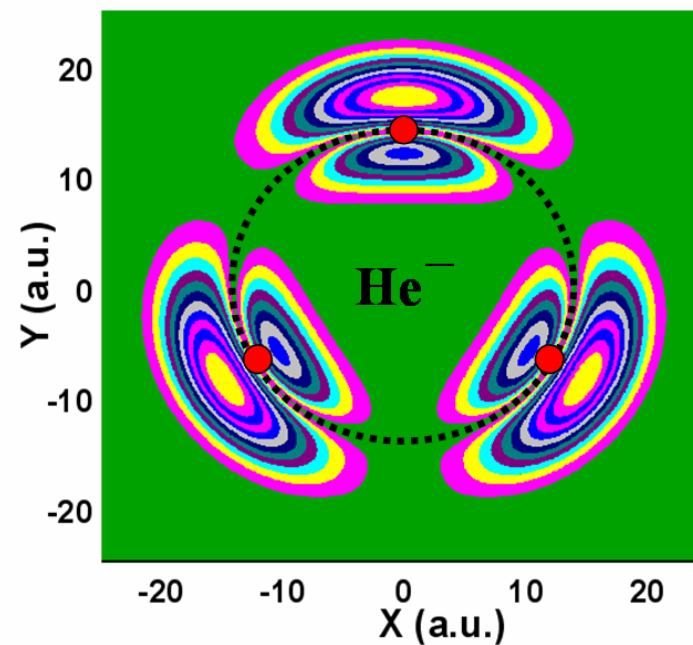
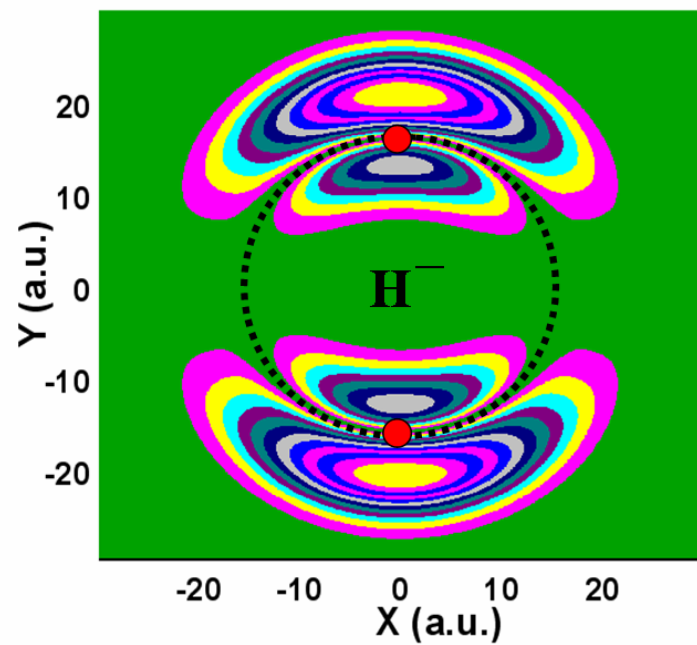
$\text{He}^-$



$\text{He}^{2-}$







Critical parameters for stability of atomic anions in super-intense laser fields

Quantity	D	Polz. <sup>a</sup>	H <sup>-</sup>	H <sup>2-</sup>	He <sup>-</sup>	He <sup>2-</sup>
$\alpha_0^{\text{crit}}$ (a.u.)	3	L	6	170	11	82
		C	6	250	4.3	51
	$\infty$	L	3	181	3	59
		C	2.6	300	1.3	45
$I^{\text{crit}}$ ( $10^{16} \frac{\text{W}}{\text{cm}^2}$ )	3	L	0.14	120	0.48	27
		C	0.14	250	0.074	10
	$\infty$	L	0.036	130	0.06	14
		C	0.027	360	0.0068	8.1
$\alpha_0^{\text{max}}$ (a.u.)	3	L	17	400	26	180
		C	16	600	14	140
	$\infty$	L	10	500	12	160
		C	10	834	6	130
$I^{\text{max}}$ ( $10^{16} \frac{\text{W}}{\text{cm}^2}$ )	3	L	1.2	640	2.7	130
		C	1.0	1400	0.79	79
	$\infty$	L	0.4	1000	0.58	100
		C	0.4	2800	0.14	68
DE (eV)	3	L	1.1	0.026	1.2	0.12
		C	0.37	0.0073	1.1	0.078
	$\infty$	L	1.2	0.019	1.4	0.14
		C	0.46	0.0052	1.1	0.066

<sup>a</sup> L and C denote linear and circular polarization, respectively. Data pertain to  $\omega = 5\text{eV}$ .

# Statistical Mechanics

## Classical

### Phase Transitions

Free Energy  
 $F(K_i) = -K_B T \log(Z)$

### Critical Phenomena

#### Correlation Length

$$\xi \sim (T - T_C)^{-\nu}$$

#### Finite Size Scaling

Thermodynamic Limit

$$N \rightarrow \infty$$

### Applications

## Quantum

### Phase Transitions

$T \longrightarrow 0$   
Ground State  $E_0(\lambda_i)$

### Critical Phenomena

#### Mass Gap of H

$$\xi \rightarrow \frac{1}{\Delta E} \sim (\lambda - \lambda_C)^{-\nu}$$

#### Finite Size Scaling

Number of Basis Functions

$$M \rightarrow \infty$$

### Applications

# Future Work

Combining FSS with Ab Initio and DFT  
(Winton Moy and Dr. Pablo Serra)

Combining FSS with Finite Element Methods  
(Dr. Marcelo Carignano and Winton Moy)

New Classification of Chemical Reactions  
(Jing Zhu)

FSS and Efimov Systems  
(Kangjun Seo, Qi Wei and Dr. Serra)

Stability of Matter in Superintense Laser Fields  
(Prof. Dudley Herschbach and Qi Wei)



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